

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ng, et al. Art Unit : 1753
Serial No. : 10/645,022 Examiner : Anthony D. Fick
Filed : August 21, 2003 Conf. No. : 2918
Title : SILICON NANOPARTICLES EMBEDDED IN POLYMER MATRIX

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

On or about July 3, 2007 and March 10, 2008, Applicants paid the required fees for a large entity Petition for Extension of Time by way of Deposit Account No. 06-1050 (copies attached). However, Applicant is entitled to small entity status as evidenced by the attached copy of the Application Transmittal submitted on August 21, 2003.

Accordingly, Applicants respectfully request that the over paid amounts of \$510 and \$525, respectively, be refunded to Fish & Richardson's Deposit Account No. 06-1050 as a credit please credit.

Respectfully submitted,

Date: 6/13/08


Kelly K. Kerdzik
Reg. No. 36,571

Fish & Richardson P.C.
One Congress Plaza
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Facsimile: (512) 320-8935

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 12179-P112US
		First Inventor Kwok Ng
		Title Silicon Nanoparticles Embedded in Polymer Matrix
		Express Mail Label No. EL823268199US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

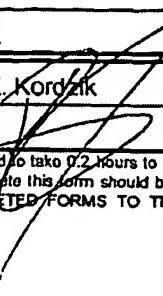
APPLICATION ELEMENTS		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		7. <input type="checkbox"/> CD-ROM or CD-R In duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>	
3. <input checked="" type="checkbox"/> Specification [Total Pages 13] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
5. Oath or Declaration [Total Pages]		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>	
a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small>		11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small>		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS						
<input type="checkbox"/> Customer Number or Bar Code Label 		or <input checked="" type="checkbox"/> Correspondence address below				
Name	Kelly K. Kordzik, Winstead Sechrest & Minick P.C.					
Address	P.O. Box 50784					
City	Dallas	State	TX	Zip Code	75250-0784	
Country	U.S.A.	Telephone	512-370-2851	Fax.	512-370-2850	
Name (Print/Type)	Kelly K. Kordzik		Registration No. (Attorney/Agent)	36,571		
Signature			Date	08/21/2003		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10/645022
10/21/03

Attorney's Docket No.: 21545-II2001

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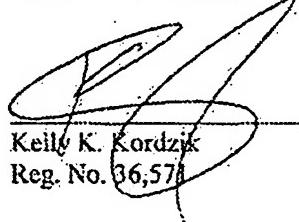
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION FOR THREE-MONTH EXTENSION OF TIME

Pursuant to 37 CFR §1.136, applicant hereby petitions that the period for response to the action dated January 3, 2007, be extended for three months to and including July 3, 2007.

Please apply \$1020 for the required fee and any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,


Kelly K. Kordzik
Reg. No. 36,571

Date: July 3, 2007

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Attorney's Docket No.: 21545-112001

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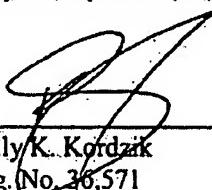
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION FOR THREE-MONTH EXTENSION OF TIME

Pursuant to 37 CFR §1.136, applicant hereby petitions that the period for response to the action dated September 18, 2007, be extended for three months to and including March 18, 2008.

Please charge Deposit Account No. 06-1050 in the amount of \$1050 for the required fee.
Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,


Kelly K. Kordzik
Reg. No. 36,571

Date: March 10, 2008

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